



#5/5-705  
2012

501.33873VC4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KAWAGOE, et al.  
Application No.: 10/014,405  
Filed: December 14, 2001  
For: PROCESS FOR MANUFACTURING A SEMICONDUCTOR  
WAFER, A SEMICONDUCTOR WAFER, PROCESS FOR  
MANUFACTURING A SEMICONDUCTOR INTEGRATED  
CIRCUIT DEVICE, AND SEMICONDUCTOR INTEGRATED  
CIRCUIT DEVICE  
Group: Not Yet Assigned  
Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97 AND § 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

March 12, 2003

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a copy of an Office Action, and copies of documents cited therein, in connection with a Japanese application corresponding to the above-identified application. Also enclosed is a List, on a form substantially equivalent to Form PTO-1449, of the enclosed documents. Further enclosed are English Abstracts of each of the documents cited in the enclosed Office Action, these cited documents being in Japanese.

This Information Disclosure Statement is being submitted prior to a first Office Action on the merits in the above-identified application. Clearly, requirements of 37 CFR § 1.97(b) are satisfied.

In any event, the following Statement is made under 37 CFR § 1.97(e)(1):

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Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

To the extent that the documents cited in the enclosed Office Action are not in English, requirements of 37 CFR § 1.98(a)(3) are satisfied by the enclosed English Abstracts.

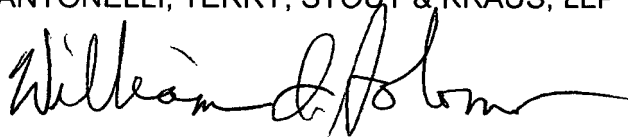
In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR § 1.97 and § 1.98 have been satisfied, in connection with cited documents on the enclosed List. Accordingly, consideration of the enclosed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135

(Case No. 501.33873VC4) and please credit any excess fees to such Deposit  
Account.

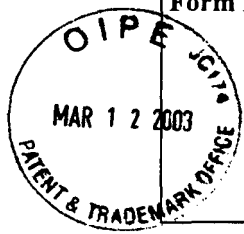
Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

A handwritten signature in black ink, appearing to read "William I. Solomon". The signature is fluid and cursive, with a long horizontal stroke at the end.

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Form PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DKT. NO.

501.33873VC4

SERIAL NO.

10/014,405

**INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT**

(Use several sheets if necessary)

APPLICANT

KAWAGOE, et al.

FILING DATE

December 14, 2001

GROUP

TBD

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
	AK					
	AL					

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation /Abstract	
						Yes	No
	AM	64-065865	3/13/89	Japan		X	
	AN	63-102370	5/7/88	Japan		X	
	AO	60-132358	7/15/85	Japan		X	
	AP						
	AQ						
	AR						
	AS						
	AT						

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	AU	Copy of Office Action, dated February 12, 2003, for corresponding JP Application No. 6-265529
	AV	
	AW	
	AX	
	AY	
	AZ	
Examiner		Date Considered

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